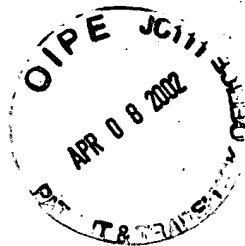


BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 GATEHOUSE ROAD
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PHONE: (703) 205-8000
FAX: (703) 205-8050

BSKB, LLP

Fax

To: Box Duplicate From: MaryAnne Armstrong
Fax: (703) 746-4060 Pages: 13
Phone: _____ Date: April 8, 2002
Re: Appl. 10/014,562 CC: _____
☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

• Comments:

Certificate of Transmission
I hereby Certify that this correspondence is being
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On April 8, 2002 Date
Christine S. Magulas Signature
Christine S. Magulas
Typed or printed name of person signing certificate

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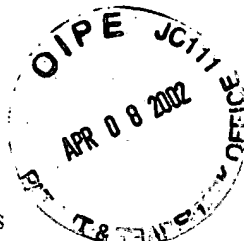
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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

| Application Number | Filing Date | First Named Applicant | Atty. Docket No. |
|--------------------|-------------|-----------------------|------------------|
| 10/014,562 | 12/14/2001 | Kaiser, Nils-Frederik | 1209-0129P |

2292

BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH VA 22040-0747



DOCKETED
Response
4-11-02

Title: Organic reactions with CO generating materials

Date Mailed: 03/28/2002

Request for Substitute Papers

The papers filed on 01/31/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Mary Anne Armstrong Registration No.: 49,064Signature: ma arm Date: April 8, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/014,562 | 12/14/2001 | Nils-Frederik Kaiser | 1209-0129P |

2292
BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH, VA 22040-0747

CONFIRMATION NO. 5761

FORMALITIES LETTER



OC00000007339435

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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BOX MISSING PARTS
PATENT
1209-0129P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: KAISER et al. Conf.: 5761
Appl. No.: 10/014,562 Group: Unassigned
Filed: December 14, 2001 Examiner: UNASSIGNED
For: ORGANIC REACTIONS WITH CO GENERATING
MATERIALS

THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 37 C.F.R. § 1.53(f) and/or § 1.53(d)

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TC 1700

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

January 31, 2002

Sir:

The application papers for the above-identified application were originally filed on December 14, 2001 and the application was assigned Appl. No. 10/014,562.

DOCUMENTATION

- ☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.
- ☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was

Appl. No. 10/014,562

filed in the U.S. Patent and Trademark office on December 14, 2001, including any amendments thereto (if applicable) filed on even date therewith.

- ☒ The undersigned hereby declares that "Attorney Docket No. 1209-0129P on page 1 of the attached inventors' Declaration, corresponds to Appl. No. 10/014,562, filed December 14, 2001, entitled "ORGANIC REACTIONS WITH CO GENERATING MATERIALS."
- ☐ Attached are _____ () sheet(s) of formal drawings.
- ☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language.
- ☐ See the attached Translator's Verification; or
- ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☒ Submitted concurrently herewith under separate cover for recording is an Assignment.

Appl. No. 10/014,562

FEES

The Government Filing Surcharge(s) (37 C.F.R. § 1.16(e) and/or § 1.17(k)) and the basic Government Filing Fee(s) (37 C.F.R. § 1.16(a)-(d), if applicable) is/are attached hereto and calculated as follows:

- ☐ Basic Filing Fee(s): \$0.00 (37 C.F.R. § 1.16(a)-(d))
- ☒ The Government Filing Surcharge under 35 U.S.C. § 1.16(e) for late filing of filing fee, oath and/or declaration:
 - ☒ Large Entity - \$130.00
 - ☐ Small Entity - \$ 65.00)
- ☐ The Government Filing Surcharge under 37 C.F.R. § 1.17(i) for filing of translation of non-English Specification in the amount of \$130.00:
 - ☐ was previously paid for concurrently with the filing of the application on
 - ☐ is attached hereto.
- ☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.
- ☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

Appl. No. 10/014,562

- ☒ Check(s) in the amount of \$130.00 to cover the basic filing fee(s), surcharge fee(s), and any extension of time fee(s) (if applicable) is/are enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By MaryAnne Armstrong, PhD., #40,069
Gerald M. Murphy, Jr., #28,977

MaryAnne Armstrong, PhD., #40,069

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

GMM/MAA/csp
1209-0129P

Attachments

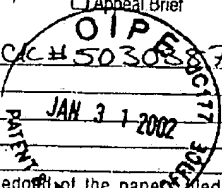
(Rev. 12/03/01)

Papers Filed herewith on: January 31, 2002 **12**

DOCKET NO.: 1209-0129P ATTY: GUMIMAA
 APPLICANT(S): KAISER et al.
 APPLN. NO: 10/014,562 FILED: 12-14-01
 PAT NO.: _____

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☒ Completion of Filing Requirements, PCT/DO/EO/905 or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response _____
☐ Information Disc Stmt. PTO-1449(s) _____ rel(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
 FEES: \$130.00 - CIC # 5030887
☐ Letter: _____
☐ Other: _____

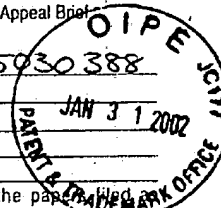
Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
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 Due Date: 3-22-02
 Handcarry: _____

Papers Filed herewith on: January 31, 2002 **13**

DOCKET NO.: 1209-0129P ATTY: GUMIMAA
 APPLICANT(S): KAISER et al.
 APPLN. NO: 10/014,562 FILED: 12-14-01
 PAT NO.: _____

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☒ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☐ Completion of Filing Requirements, PCT/DO/EO/905 or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response _____
☐ Information Disc Stmt. PTO-1449(s) _____ rel(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
 FEES: \$40.00 - CIC # 5030388
☐ Letter: _____
☐ Other: _____

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